

**FORM NO. MGT-7**

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]

**Annual Return**

Form language  English  Hindi

Refer the instruction kit for filing the form.

**I. REGISTRATION AND OTHER DETAILS**

(i) \* Corporate Identification Number (CIN) of the company

L45200MH1939PLC002958

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACM3041N

(ii) (a) Name of the company

MODERN SHARES AND STOCKI

(b) Registered office address

WANKHEDE STADIUM NORTH STAND L AND M WINGS D ROAD  
CHURCHGATE  
MUMBAI  
Maharashtra  
400020

(c) \*e-mail ID of the company

modernshare@hotmail.com

(d) \*Telephone number with STD code

02268252400

(e) Website

www.modernshares.com

(iii) Date of Incorporation

01/07/1939

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes  No

(f) Specify the reasons for not holding the same

AGM will be held on September 17, 2021

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	6,000,000	2,931,125	2,931,125	2,931,125
Total amount of equity shares (in Rupees)	60,000,000	29,311,250	29,311,250	29,311,250

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	6,000,000	2,931,125	2,931,125	2,931,125
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	60,000,000	29,311,250	29,311,250	29,311,250

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

###### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

###### (d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	2,931,125	29,311,250	29,311,250	
<b>Increase during the year</b>	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	2,931,125	29,311,250	29,311,250	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0

iii. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	18/09/2020		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>		
Type of transfer	<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
	Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			647,972
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
<b>Total</b>			647,972

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

25,871,507

**(ii) Net worth of the Company**

116,589,636

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	554,310	18.91	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,132,509	38.64	0	
10.	Others Shares held by Mr. Narendra Adv	447,965	15.28	0	
	<b>Total</b>	2,134,784	72.83	0	0

**Total number of shareholders (promoters)**

10

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	530,158	18.09	0	
	(ii) Non-resident Indian (NRI)	215,761	7.36	0	
	(iii) Foreign national (other than NRI)	0	0	0	



2.	Government				
	(i) Central Government	14,748	0.5	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,964	0.14	0	
10.	Others IEPF (31660) & Trust (50)	31,710	1.08	0	
	<b>Total</b>	796,341	27.17	0	0

**Total number of shareholders (other than promoters)**

856

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

866

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	884	856
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

<b>A. Promoter</b>	1	3	1	3	15.52	16.32
<b>B. Non-Promoter</b>	0	2	0	2	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	2	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>5</b>	<b>1</b>	<b>5</b>	<b>15.52</b>	<b>16.32</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ANIL SUGNO MANGHM	00012806	Whole-time director	455,010	
GHANSHAM SHEWAKI	00413343	Director	49,600	
NARENDRA HIRA ADV	03351909	Director	428,865	
ROSHAN ADVANI PAT	00651144	Director	0	
ASHOK TIKAMDAS KU	00463526	Director	0	
PANKAJ RAJNIKANT V	00207079	Director	50	
RADHAKRISHNA NAR/	AAKPS3303G	CFO	1,200	
VIBHA DINESH MEHTA/	AWRPM3166A	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	18/09/2020	895	31	71.05

### B. BOARD MEETINGS

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	29/06/2020	6	6	100
2	11/08/2020	6	6	100
3	09/11/2020	6	6	100
4	12/02/2021	6	6	100

### C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	29/06/2020	3	3	100
2	STAKEHOLDE	29/06/2020	3	2	66.67
3	NOMINATION	29/06/2020	3	2	66.67
4	AUDIT COMM	11/08/2020	3	3	100
5	STAKEHOLDE	11/08/2020	3	3	100
6	NOMINATION	11/08/2020	3	2	66.67
7	AUDIT COMM	09/11/2020	3	3	100
8	STAKEHOLDE	09/11/2020	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
9	NOMINATION	09/11/2020	3	3	100
10	AUDIT COMM	12/02/2021	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	ANIL SUGNO	4	4	100	4	4	100	
2	GHANSHAM S	4	4	100	4	3	75	
3	NARENDRA H	4	4	100	0	0	0	
4	ROSHAN ADV	4	4	100	4	2	50	
5	ASHOK TIKAM	4	4	100	13	13	100	
6	PANKAJ RAJI	4	4	100	13	13	100	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	ANIL SUGNO MAN	Wholetime Direc	1,080,800	0	0	0	1,080,800
	Total		1,080,800	0	0	0	1,080,800

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	RADHAKRISHNA N	CFO(KMP)	1,008,000	0	0	0	1,008,000
2	VIBHA DINESH ME	Company Secre	228,600	0	0	0	228,600
	Total		1,236,600	0	0	0	1,236,600

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ASHOK TIKAMDAS	IND NON EXEC	0	0	0	62,500	62,500
2	PANKAJ RAJNIKAM	IND NON EXEC	0	0	0	62,500	62,500
3	GHANSHAM SHEV	NON EXECUTIV	0	0	0	37,500	37,500
4	NARENDRA HIRA	NON EXECUTIV	0	0	0	30,000	30,000
5	ROSHAN ADVANI	NON EXECUTIV	0	0	0	35,000	35,000
	Total		0	0	0	227,500	227,500

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

JANAK A. PANDYA

Whether associate or fellow

Associate  Fellow

Certificate of practice number

5940

#### I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

#### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

DIN of the director

00012806

#### To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

40143

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

MSSL\_DRAFT\_MGT-8\_Certificate by PCS  
MSSL\_Committee Meeting\_2020-21.pdf  
MSSL\_Transmission\_2020-21.pdf  
MSSL\_PAN Clubbed Cases\_310321.pdf

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**Note: This e-form MGT-7 (draft) along with form MGT-8 (draft) placed on the website of the Company in compliance of the Companies (Amendment) Act, 2017, effective August 28, 2020. The e-form MGT-7 shall be filed with Ministry of Corporate Affairs upon the completion of 82nd Annual General Meeting of the Company as required under Section 92, of Companies Act, 2013, and the Rules made thereunder and the copy of the same shall be furnished on the website of the Company.**

**JANAK A. PANDYA**  
**[COMPANY SECRETARY]**

1002, PARSHVA SHREYAS, CARTER ROAD NO.9, BORIVALI (EAST), MUMBAI – 400 066.

Phone: 9223482481 Email: janak\_jap@yahoo.com

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**DRAFT**

**Form No. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies  
(Management and Administration) Rules, 2014]*

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**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of **Modern Shares And Stockbrokers Limited (CIN No. L45200MH1939PLC002958)** (the Company) having its Registered Office at Wankhede Stadium North Stand, L and M Wings, D Road, Churchgate Mumbai – 400020, as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **March 31, 2021**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid Financial Year the Company has generally complied with provisions of the Act & Rules made there under in respect of:

1. its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
4. Calling/convening/holding meetings of Board of Directors and its committees, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members / Security holders, as the case may be.
6. As per the information given by the Company, the Company has not made any Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act during the financial year;
7. As per information and explanation provide to us, all the related party transactions entered into during the Financial year were on an arm's length basis and were in the ordinary course of business and thus they are in compliance with the provisions of Section 188 of the Act;
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;



**JANAK A. PANDYA**  
**[COMPANY SECRETARY]**

1002, PARSHVA SHREYAS, CARTER ROAD NO.9, BORIVALI (EAST), MUMBAI – 400 066.

Phone: 9223482481 Email: janak\_jap@yahoo.com

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9. The Company has not kept in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares during the financial year.
10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/ appointment/ re-appointments/ retirement/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them; There was no casual vacancy in the Board during the year.
13. There was no Appointment/ reappointment under Section 139 of the Act, and there was no casual vacancy of auditors during the year.
14. The Company was not required to take any approval from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company has not Accepted/ renewed/ repaid any deposits from the public during the financial year.
16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act during the financial year;
18. The Company has not altered the provisions of the Memorandum and/ or Articles of Association of the Company during the Financial year;

**Place: Mumbai**

**Signature:**

**Date:**

Name of Company Secretary in practice: **CS Janak A. Pandya (M.No.10841)**  
**(C.P. No.:5940)**

**UDIN:** \_\_\_\_\_

**Note: The qualification, reservation or adverse remarks; if any, may be stated at the relevant place(s).**

**IX. Meetings of Members/Class of Members/Board/Committees of the Board of Directors****C. Committee Meetings****Number of Meetings held - 13**

S.No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1.	Audit Committee Meeting	29/06/2020	3	3	100
2.	Stakeholder & Grievance Committee Meeting	29/06/2020	3	2	66.67
3.	Nomination & Remuneration Committee Meeting	29/06/2020	3	2	66.67
4.	Audit Committee Meeting	11/08/2020	3	3	100
5.	Stakeholder & Grievance Committee Meeting	11/08/2020	3	3	100
6.	Nomination & Remuneration Committee Meeting	11/08/2020	3	2	66.67
7.	Audit Committee Meeting	09/11/2020	3	3	100
8.	Stakeholder & Grievance Committee Meeting	09/11/2020	3	3	100
9.	Nomination & Remuneration Committee Meeting	09/11/2020	3	3	100
10.	Audit Committee Meeting	12/02/2021	3	3	100
11.	Stakeholder & Grievance Committee Meeting	11/02/2021	3	3	100
12.	Nomination & Remuneration Committee Meeting	11/02/2021	3	3	100
13.	Independent Directors Meeting	29/01/2021	2	2	100

Modern Shares And Stock Brokers Limited

TRANSMISSION REGISTER

FROM : 01/04/2020 TO : 31/03/2021

TRANSFER #:	TRANSFEROR	TRANSFEREE	CERT.NO		DIST.NOS		QTY
DT/CON/RT:	NAME/ADDRESS	NAME/ADDRESS	FROM	TO	FROM	TO	
TM544:	A000021	IEPF2017	9920415	9920415	155151	155175	25
09-09-2020	MR. MOHINDER PAUL AGGARWAL	Investor Education And Protection Fund	9920415	9920415	960226	960250	25
0	143,RANJIT AVENUE STREET NO.6	Authority Ministry Of Corporate					
0.00:	KAPURTHALA (PUNJAB)	Affairs					
0.00:	0						
0.00:	0						
TRANSMISSIO:	F/H :SHRI RAJ PAUL AGGARWAL						
N:	OC:SER ST:RI CT:PL						
50							
TM545:	A000076	IEPF2017	9920416	9920416	643908	643957	50
09-09-2020	MR.RAM AGARWAL	Investor Education And Protection Fund	9920416	9920416	953362	953411	50
0	33, CHOWK GANGADAS,	Authority Ministry Of Corporate	9920416	9920416	953412	953461	50
0.00:	ALLAHABAD - 211 003	Affairs	9920416	9920416	953462	953511	50
0.00:	ALLAHABAD		9920416	9920416	1131006	1131055	50
0.00:	211003		9920416	9920416	1131056	1131105	50
TRANSMISSIO:	F/H :MR.PRAHLAD DAS		9920416	9920416	1131106	1131155	50
N:	OC:BUS ST:RI CT:PL		9920416	9920416	1131156	1131205	50
400							
TM546:	C000044	IEPF2017	9920417	9920417	342913	342962	50
09-09-2020	MRS.SHUBHADA CHANDRAKANT CHAVAN	Investor Education And Protection Fund	9920417	9920417	343063	343112	50
0	B/2, SHAKYA VIHAR,	Authority Ministry Of Corporate	9920417	9920417	1141219	1141268	50
0.00:	V.B.PHADKE ROAD,SANE GURUJI NAGAR,	Affairs	9920417	9920417	1141269	1141318	50
0.00:	MULUND(EAST), BOMBAY - 400 081						
0.00:	0						
TRANSMISSIO:	0						
N:	F/H :MR.CHANDRAKANT CHAVAN						
200	OC:OTH ST:RI CT:PL						
TM547:	D000045	IEPF2017	9920418	9920418	704061	704110	50
09-09-2020	MR.PROBIR DAS	Investor Education And Protection Fund	9920418	9920418	704111	704160	50
0	312/314,KARELWADI	Authority Ministry Of Corporate	9920418	9920418	704161	704210	50
0.00:	4TH FLOOR DIWAKAR CHAMBER	Affairs	9920418	9920418	704211	704260	50
0.00:	GIRGAUM BOMBAY 400 002		9920418	9920418	1145120	1145169	50
0.00:	0		9920418	9920418	1145170	1145219	50
TRANSMISSIO:	0		9920418	9920418	1145220	1145269	50
N:	F/H :MANOJ KUMAR DAS		9920418	9920418	1145270	1145319	50
400	JT2:MR.MANOJ KUMAR DAS						
	OC:STU ST:RI CT:PL						

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TRANSMISSION REGISTER

FROM : 01/04/2020 TO : 31/03/2021

TRANSFER #:	TRANSFEROR	TRANSFEREE	CERT.NO		DIST.NOS		QTY
DT/CON/RT:	NAME/ADDRESS	NAME/ADDRESS	FROM	TO	FROM	TO	
TM548:	D000046	IEPF2017	9920419	9920419	704261	704310	50
09-09-2020	MR.MANOJ KUMAR DAS	Investor Education And Protection Fund	9920419	9920419	704311	704360	50
0	312/314,KARELWADI	Authority Ministry Of Corporate	9920419	9920419	704361	704410	50
0.00	4TH FLOOR DIWAKAR CHAMBER	Affairs	9920419	9920419	704411	704460	50
0.00	GIRGAUM BOMBAY 400 002		9920419	9920419	704461	704510	50
0.00	0		9920419	9920419	704511	704560	50
TRANSMISSIO:	0		9920419	9920419	1145520	1145569	50
N:	F/H :RAMKRISHNA DAS		9920419	9920419	1145570	1145619	50
800	JT2:MR.PROBIR DAS		9920419	9920419	1145620	1145669	50
	OC:BUS ST:RI CT:PL		9920419	9920419	1145670	1145719	50
			9920419	9920419	704561	704610	50
			9920419	9920419	704611	704660	50
			9920419	9920419	1145320	1145369	50
			9920419	9920419	1145370	1145419	50
			9920419	9920419	1145420	1145469	50
			9920419	9920419	1145470	1145519	50
TM549:	F000002	IEPF2017	9920420	9920420	97344	97350	7
09-09-2020	MR. FRANCISCO ANTONIO JOAO DE	Investor Education And Protection Fund	9920420	9920420	197744	197750	7
0	PHILOMENO FERNANDES	Authority Ministry Of Corporate					
0.00	HOUSE NO. 17, TARVOLEM - 403 103,	Affairs					
0.00	VIA SIRODA (GOA).						
0.00	0						
TRANSMISSIO:	0						
N:	PAN :AADPF6840N						
14	F/H :MR. ABDON CANDID I. FERNANDES						
	OC:OTH ST:RI CT:PL						
TM550:	G000002	IEPF2017	9920421	9920421	85501	85550	50
09-09-2020	MRS. SARALA RAMCHANDRA GINDE	Investor Education And Protection Fund	9920421	9920421	85551	85600	50
0	B.12, MAFATLAL PARK,	Authority Ministry Of Corporate	9920421	9920421	85601	85650	50
0.00	B. DESAI ROAD,	Affairs	9920421	9920421	85651	85700	50
0.00	BOMBAY - 400 026.		9920421	9920421	85701	85750	50
0.00	0		9920421	9920421	85751	85800	50
TRANSMISSIO:	0		9920421	9920421	1151584	1151633	50
N:	F/H :DR. RAMCHANDRA G. GINDE.		9920421	9920421	1151634	1151683	50
800	JT2:MR. RAMCHANDRA GUNDU GINDE.		9920421	9920421	1151684	1151733	50
	OC:HOU ST:RI CT:PL		9920421	9920421	1151734	1151783	50
			9920421	9920421	85801	85850	50
			9920421	9920421	85851	85900	50
			9920421	9920421	1151384	1151433	50
			9920421	9920421	1151434	1151483	50
			9920421	9920421	1151484	1151533	50
			9920421	9920421	1151534	1151583	50

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TRANSMISSION REGISTER

FROM : 01/04/2020 TO : 31/03/2021

TRANSFER # : TRANSFEROR		TRANSFEREE	CERT.NO		DIST.NOS		QTY
DT/CON/RT	NAME/ADDRESS	NAME/ADDRESS	FROM	TO	FROM	TO	
TM551:	G000046	IEPF2017	9920422	9920422	152001	152050	50
09-09-2020	MR. MADHUKANT MAGANLAL GANDHI	Investor Education And Protection Fund	9920422	9920422	1154295	1154344	50
0	VRINDAVAN CHAWL, ROOM NO. 4,	Authority Ministry Of Corporate					
0.00	29, VALLABHBHAI PATEL ROAD,	Affairs					
0.00	BOMBAY - 400 056.						
0.00	0						
TRANSMISSIO:	0						
N:	F/H :MR. MAGANLAL GANDHI						
100	JT2:MR. PARIMAL CHIMANLAL GANDHI.						
	JT3:MR. CHIMANLAL MAGANLAL GANDHI.						
	OC:OTH ST:RI CT:PL						
TM552:	K000052	IEPF2017	9920423	9920423	138176	138225	50
09-09-2020	SHRI RAMDEV KUNJUR	Investor Education And Protection Fund	9920423	9920423	1181835	1181884	50
0	1, PEMINO,	Authority Ministry Of Corporate					
0.00	ALTAMOUNT ROAD,	Affairs					
0.00	BOMBAY - 400 026.						
0.00	0						
TRANSMISSIO:	0						
N:	F/H :MR. NARAYAN ROA KUNJAR						
100	JT2:SMT. NEERADNA RAMDEV KUNJUR.						
	JT3:KUM. JAIDEV KUNJUR.						
	OC:OTH ST:RI CT:PL						
TM553:	P000091	IEPF2017	9920424	9920424	21551	21600	50
09-09-2020	MRS. LILAVATI N.PARDIWALA	Investor Education And Protection Fund	9920424	9920424	21601	21650	50
0	DEVI SADAN, OPP.NEW VEG.MARKET,	Authority Ministry Of Corporate	9920424	9920424	1866861	1866910	50
0.00	VALSAD - 396 001	Affairs	9920424	9920424	1866911	1866960	50
0.00	0						
0.00	0						
TRANSMISSIO:	F/H :MR. NATWARLAL H.PARDIWALA						
N:	JT2:MR. ASHISH N.PARDIWALA						
200	OC:OTH ST:RI CT:PL						
TM554:	P000092	IEPF2017	9920425	9920425	80451	80500	50
09-09-2020	MR. ASHISH N.PARDIWALA	Investor Education And Protection Fund	9920425	9920425	84476	84525	50
0	DEVI SADAN,	Authority Ministry Of Corporate	9920425	9920425	1866961	1867010	50
0.00	OPP. NEW VEG.MARKET,	Affairs	9920425	9920425	1867011	1867060	50
0.00	VALSAD- 396 001						
0.00	0						
TRANSMISSIO:	0						
N:	F/H :MR. NATWARLAL H. PARDIWALA						
200	JT2:MR. NATWARLAL H.PARDIWALA						
	OC:SER ST:RI CT:PL						

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TRANSMISSION REGISTER

FROM : 01/04/2020 TO : 31/03/2021

TRANSFER #:	TRANSFEROR	TRANSFeree	CERT.NO		DIST.NOS		QTY
DT/CON/RT:	NAME/ADDRESS	NAME/ADDRESS	FROM	TO	FROM	TO	
TM555:	S000082	IEPF2017	9920426	9920426	164156	164175	20
09-09-2020	DR. NATHUBHAI JAGJIVANDAS SHAH	Investor Education And Protection Fund	9920426	9920426	1882462	1882481	20
0	4-D, ANANT APARTMENT,	Authority Ministry Of Corporate					
0.00	RAJABALI PATEL ROAD,OFF WARDEN ROAD,	Affairs					
0.00	BOMBAY - 400 026.						
0.00	0						
TRANSMISSIO:	0						
N:	F/H :MR. J. SHAH						
40	JT2:DR. SUNDRI NATHUBHAI SHAH.						
	OC:OTH ST:RI CT:PL						
TM556:	V000028	IEPF2017	9920427	9920427	137201	137225	25
09-09-2020	MR. ATMA REWCHAND VASWANI	Investor Education And Protection Fund	9920427	9920427	1913641	1913665	25
0	A-12, FLOWER QUEEN, VEERA DESAI ROAD,	Authority Ministry Of Corporate					
0.00	ANDHERI(WEST), BOMBAY 400 058.	Affairs					
0.00	0						
0.00	0						
TRANSMISSIO:	F/H :MR. REWACHAND VASWANI						
N:	OC:HOU ST:RI CT:PL						
50							

Modern Shares And Stock Brokers Limited

TRANSMISSION REGISTER

FROM 01/04/2020 TO 31/03/2021

\*\*\*\*\* BATCH CONTROLS\*\*\*\*\*

1. TOTAL NO.OF RECORDS PROCESSE :	13
2. TOTAL NO.OF PAGES PRINTED :	5
3. TOTAL NO.OF TRANSFERS :	13
4. TOTAL NO.OF CERTIFICATES :	13
5. TOTAL NO.OF SHARES :	3354
6. TOTAL CONSIDERATION AMOUNT :	0
7. TOTAL STAMP DUTY PAID :	0.00
8. HIGH RATE PER SHARE :	0.00
9. LOW RATE PER SHARE :	0.00

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RECEIVED DATE FROM :10/09/2020 TO 10/09/2020 TRANSFER NO.FROM 01/04/2020 TO 31/03/2021

FOR 3354 SHARES ARE HEREBY APPROVED ON :

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DATE :

**Modern Shares And Stock Brokers Limited**

**Regulation 31 - Annexure of PAN clubbed cases**

Sr.No.	Folio/Dpid-Clid	Name	PAN	Shares	Category
1	IN30133021960435	AMIT VASANTRAY MODY	AAAPM8136F	50	Public
2	IN30133021994326	AMIT VASANTRAY MODY	AAAPM8136F	25	Public
	<b>Total</b>			<b>75</b>	
1	G000052	MR. MAHENDRA GIRDHARILAL	AAAPW1327L	50	Public
2	IN30045010599444	MAHENDRA GIRDHARILAL	AAAPW1327L	250	Public
	<b>Total</b>			<b>300</b>	
1	IN30082911030763	MADHANI PRAVIN HUKAMICHAND	AABPM4015C	100	Public
2	IN30082911506259	PRAVIN MADHANI	AABPM4015C	100	Public
	<b>Total</b>			<b>200</b>	
1	IN30074910598627	C.V.R. SWAMY	AACPR6887J	250	Public
2	IN30074910772137	C V R SWAMY	AACPR6887J	250	Public
	<b>Total</b>			<b>500</b>	
1	IN30018310391641	OMPRAKASH SITARAM SABOO	AACPS1360H	50	Public
2	S000052	MR. OMPRAKASH SABOO	AACPS1360H	50	Public
	<b>Total</b>			<b>100</b>	
1	IN30075711386920	MAYUR JAMNADAS VORA	AACPV0285G	190	Public
2	M000135	MAYUR JAMNADAS VORA	AACPV0285G	50	Public
	<b>Total</b>			<b>240</b>	
1	IN30001110012909	R N SHENVI	AAKPS3303G	1150	Public
2	IN30012610251900	RADHAKRISHNA SHENVI	AAKPS3303G	50	Public
	<b>Total</b>			<b>1200</b>	
1	IN30082911706414	CHARUDDATTA DATTATRAY VIBHUTE	ACAPV2847E	950	Public
2	IN30087010158392	CHARUDATTA DATTATRAYA VIBHUTE	ACAPV2847E	10019	Public
	<b>Total</b>			<b>10969</b>	
1	B000061	SRI JAI BOTHRA	ADCPB7699B	50	Public
2	IN30125028096714	JAI BOTHRA	ADCPB7699B	50	Public
	<b>Total</b>			<b>100</b>	
1	IN30100610078721	SALONI JINDAL	ADDPJ7207J	4655	Public
2	IN30326110008510	SALONI JINDAL	ADDPJ7207J	100	Public
	<b>Total</b>			<b>4755</b>	
1	1304030000057557	VANDANA CHARUDATTA VIBHUTE	ADFPV4826P	351	Public
2	IN30087010123848	VANDANA CHARUDATTA VIBHUTE	ADFPV4826P	2078	Public
	<b>Total</b>			<b>2429</b>	
1	1202890001487824	BERUZ FERAMROZ POUREDEHI	ADRPP4513D	1	Public
2	IN30051321521053	BERUZ FERAMROZ POUREDEHI	ADRPP4513D	25	Public
	<b>Total</b>			<b>26</b>	
1	1201860000315941	GEETA RAVINDRA THAKKAR	AEOPT7077E	50	Public
2	1203250000006041	GEETA RAVINDRA. THAKKAR	AEOPT7077E	100	Public
	<b>Total</b>			<b>150</b>	
1	1201090000954633	VENKATA JAWAHAR KOTAGIRI	AFNPK2869K	200	Public
2	IN30267930979494	KOTAGIRI VENKATA JAWAHAR	AFNPK2869K	2750	Public
	<b>Total</b>			<b>2950</b>	
1	IN30009511070460	Chandresh K Mehta	AGQPC9729A	200	Public
2	IN30051311511520	CHANDRESH K MEHTA	AGQPC9729A	100	Public
	<b>Total</b>			<b>300</b>	
1	C000033	MRS. SHARAYU SHASHIKANT CHAMANKAR	AGYPC0064E	2	Public
2	C000034	MR. SHASHIKANT NARAYAN CHAMANKAR	AGYPC0064E	2	Public
	<b>Total</b>			<b>4</b>	
1	1204470006559837	NAYNA BHARAT CHAUDHARI	ALJPC9611K	581	Public
2	IN30051319925172	CHAUDHARI NAYNA BHARAT	ALJPC9611K	967	Public
	<b>Total</b>			<b>1548</b>	
1	1201090000246383	DEEPAK KOHLI	ANAPK1409L	24661	Public
2	1201910103058947	DEEPAK KOHLI	ANAPK1409L	25070	Public
	<b>Total</b>			<b>49731</b>	
1	1201910103115346	GEETA KOHLI	ANIPK2353F	655	Public
2	1203390000113141	GEETA KOHLI	ANIPK2353F	1	Public
	<b>Total</b>			<b>656</b>	