

### Policy for Pre-funded Instrument Investment Acceptance

(Ref: SEBI circular no. CIR/MIRSD/03/2011 dated June 09, 2011 & NSE Circular No. NSE/INSP/2011/118)

- (A) In case of acceptance of pre-funded instruments of **Rs.50,000/- or more**, per day per client, such as, **Pay Order, Demand Draft, and Banker's Cheque** etc shall be accompanied by Bank's Certification (Specimen format is enclosed).

The mode of certification shall be as follows:

- Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.
- Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.
- Certified copy of the passbook/bank statement for the account debited to issue the instrument.
- Authentication of the bank account-number debited and name of the account holder by the issuing bank on the reverse of the instrument.

- (B) In case of **ELECTRONIC FUND TRANSFER/NEFT/RTGS** of **Rs.50, 000/- or more** the Sub brokers/Authorised Persons and Clients shall provide us certificate from the transferee Bank giving the details of the Bank Account in which fund has been transmitted. In case of Sub-broker/Authorised Person/clients do not furnish required documents; the funds will not be re-debited to the account of Sub-broker/Authorised Person/client.

The Sub-broker/Authorised Person/client may e-mail the scanned copy of the Bank Certificate for fast credit of the amount and send the original bank certificate by post.

Request all to appraise the client accordingly and expect customary cooperation from all Sub-brokers /Authorized Persons

For Modern Shares and Stockbrokers Limited



Director